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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. *2-28-01*
16159.031001; P5754

In Re Application Of: James C. LIU et al.

Serial No.	Filing Date	Examiner	Group Art Unit
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Title: **METHOD AND SYSTEM FOR TRUSTED TRANSACTION APPROVAL**

JCEB7 U.S. PTO
09/995545
11/28/01

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- 2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 - 1. a Final Action under 37 CFR 1.113, or
 - 2. a Notice of Allowance under 37 CFR 1.311,
 whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



22511

PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
16159.031001; P5754

In Re Application Of: James C. LIU et al.

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Title: METHOD AND SYSTEM FOR TRUSTED TRANSACTION APPROVAL

JCE87 U.S. PTO
09/995545
11/28/01

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
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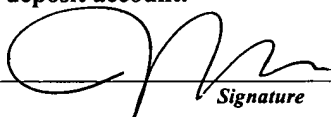
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Signature

Dated: 11/28/01

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) 16159.031001; P5754	Application Number
Applicant(s) James C. LIU et al.	
Filing Date	Group Art Unit



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,917,913	06/29/1999	Wang	380	25	12/04/1996
	A2	6,018,724	01/25/2000	Arent	705	44	06/30/1997
	A3	6,040,783	03/21/2000	Houvener et al.	340	825.31	11/10/1997
	A4	6,175,922 B1	01/16/2001	Wang	713	182	03/13/2000
	A5	6,282,656 B1	08/28/2001	Wang	713	201	04/27/1998

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): James C. LIU et al.

Docket No.

16159.031001; P5754

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **METHOD AND SYSTEM FOR TRUSTED TRANSACTION APPROVAL**

JC857 U.S. PTO
09/995545
11/26/01

I hereby certify that the following correspondence:

Information Disclosure Statement

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, P. O. Box 2327, Arlington, Virginia 22202, on November 28, 2001.

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Lisa H. Smith

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